

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09546031	
	Filing Date		2000-04-10	
	First Named Inventor	Keith		
	Art Unit	3692		
	Examiner Name	C.B. Graham		
Attorney Docket Number		125461		

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Application Number	09546031	09546031 - GAU: 3691
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**INFORMATION DISCLOSURE  
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1	HANDA, P., et al., "A Tale of Two Trading Venues: Electronically Delivered Orders vs. Floor Brokered Orders on the American Stock Exchange." Proceedings of the 32nd Hawaii International Conference on Systems Sciences [HICSS-32], January 1999, 7 pages.	<input type="checkbox"/>
2	Office Action dated August 25, 2004, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
3	Final Office Action dated February 24, 2005, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
4	Office Action dated January 27, 2006, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
5	Final Office Action dated November 2, 2006, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
6	Office Action dated June 20, 2007, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
7	Notice of Allowance dated February 2, 2008, from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
8	Allowed Claims from U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
9	International Search Report dated June 5, 2001, issued in corresponding International Application No. PCT/US01/10711, filed April 2, 2001.	<input type="checkbox"/>
10	International Preliminary Examination Report dated March 8, 2002, issued in corresponding International Application No. PCT/US01/10711, filed April 2, 2001.	<input type="checkbox"/>
11	International Search Report dated July 30, 2001, issued in corresponding International Application No. PCT/US01/10712, filed April 2, 2001.	<input type="checkbox"/>

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12	International Preliminary Examination Report dated May 6, 2002, issued in corresponding International Application No. PCT/US01/10712, filed April 2, 2001.	<input type="checkbox"/>
13	International Search Report dated May 29, 2001, issued in corresponding International Application No. PCT/US01/10721, filed April 2, 2001.	<input type="checkbox"/>
14	International Preliminary Examination Report dated August 16, 2002, issued in corresponding International Application No. PCT/US01/10721, filed April 2, 2001.	<input type="checkbox"/>
15	International Search Report dated June 3, 2001, issued in corresponding International Application No. PCT/US01/10725, filed April 2, 2001.	<input type="checkbox"/>
16	International Preliminary Examination Report dated April 18, 2002, issued in corresponding International Application No. PCT/US01/10725, filed April 2, 2001.	<input type="checkbox"/>
17	Office Action dated May 1, 2007, from U.S. Application No. 11/515,362, filed August 31, 2006, which is a Continuation of U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
18	Final Office Action dated November 14, 2007, from U.S. Application No. 11/515,362, filed August 31, 2006, which is a Continuation of U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>
19	Office Action dated July 17, 2008, from U.S. Application No. 11/515,362, filed August 31, 2006, which is a Continuation of U.S. Application No. 09/802,169, filed March 8, 2001, now U.S. Patent No. 7,398,244, which is a Continuation-in-Part of the present application.	<input type="checkbox"/>

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## EXAMINER SIGNATURE

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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST-16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.